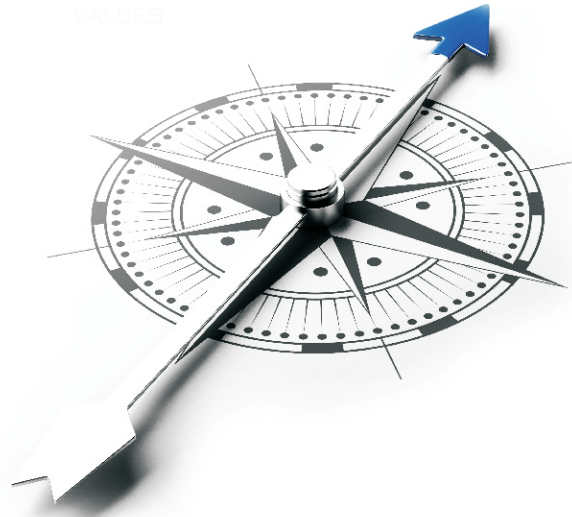


VALUES



ADDING VALUE,

ADHERING TO
VALUES

WHY SVVS?

SVVS & Associates Company Secretaries LLP is a mega CS firm backed by 180 man years of experience behind it. The 5 highly experienced partners command deep and diverse expertise in multiple domains in financial and manufacturing sectors. The collective professional wisdom of the partners is a unique differentiator of SVVS. The firm employs a resource base of more than 50 persons comprising qualified and semi qualified professionals.

PARTNERS



SURESH VISWANATHAN

A Fellow Member of the Institute of Company Secretaries of India, and a Certificated Associate of the Indian Institute of Banking and Finance, Suresh possesses 4 decades of extensive experience in the Banking, Financial Services and insurance (BFSI) sector. A former Investment Banker having performed several IPO due diligences, he is an expert in multiple legal faculties. Having been a SEBI Compliance Officer for various market intermediaries for more than 20 years, Suresh is renowned for proactive installation and automation of Governance, Risk and Compliance (GRC) mechanisms including AML.

Author of books on Cyber Law and Competition Law, Suresh has published several research articles on Capital Markets, Compliance, Company law etc. in leading business news papers. Before commencing whole time practice, he was heading Compliance Management at Reliance Mutual Fund and Reliance Money.

- 4 decades of experience in Financial Markets
- Former Head of Compliance, Reliance Money Group Companies, Reliance Mutual Fund and IndusInd Bank

A Fellow Member of the Institute of Company Secretaries of India, Venkat possesses 4 decades of industry experience. Former Company Secretary and Deputy General Manager of Lakshmi Vilas Bank, (Listed at NSE & BSE) he has equal exposure to Corporate Banking and Corporate Laws. He is also a Masters in Commerce, and Bachelor in General Law.

Venkateswaran is a dynamic senior professional with in-depth exposure to a wide spectrum of functions like Banking, Accounts, Finance, and Corporate Governance in addition to being an authority on Company Law and Securities laws. He has managed 5 Rights issues, 2 Bonus Issues and 7 Series of debenture issues during his tenure in the Bank.

- 4 decades of experience in Multiple Industries including Banking
- Former Company Secretary of Lakshmi Vilas Bank



**VENKATESWARAN
SAMBAMURTHY**



SUDHIR BABU

A Fellow Member of the Institute of Company Secretaries of India, Sudhir possesses 4 decades of extensive experience in Finance, Accounts, Legal and Secretarial functions. A Fellow Member of The Institute of Cost Accountants of India, he also holds a Bachelors Degree in Law. With 20 years of experience in Merchant Banking and Stock Broking, Sudhir has handled several Public Issues and Takeover Assignments. Before commencing whole time practice, he was the Executive Director of Brilliant Securities Limited, a Member of NSE.

Sudhir has also served the Institute of Company Secretaries in various capacities for more than 20 years, including being a member of the Central Council. He was also a member of the Managing Committee of the Federation of Andhra Pradesh Chambers of Commerce and Industry (FAPCCI). A great organizer, he has been instrumental in conducting and addressing innumerable seminars, conventions and workshops at national and internal levels, on topics related to Capital Market, Takeover Regulations, Listing and Delisting of Securities and Corporate Governance.

- 4 decades of experience in Capital Markets
- Former Executive Director of Brilliant Securities Limited, Hyderabad

A Fellow Member of the Institute of Company Secretaries of India, Pankaj possesses 2 decades of experience in Corporate Law matters. He also holds a Bachelors Degree in Law. Pankaj has extensive experience in Compliance Audits, Due Diligence, Statutory Compliance, Indirect Taxation, Corporate Restructuring and setting up branch/ liaison office for foreign companies.

Pankaj is renowned for representing clients before various governmental and quasi judicial authorities in Delhi. Well versed in private equity deals, he was instrumental in successfully closing many investment deals.

- 2 decades of experience in statutory compliance in various sectors
- Practicing Company Secretary from Delhi



PANKAJ NIGAM

WHAT WE DO



Secretarial Audit is an independent verification of compliance with all applicable Corporate Laws by listed companies and certain other categories of companies. The applicable industry and business laws are complex and non-compliance would expose the company to immeasurable risks. Secretarial Audit gives comfort to the regulators, stakeholders and management that company has a disciplined approach to evaluate and improve effectiveness of risk management, control and governance processes.

Compliance Audits are normally carried on by companies regulated by various sectoral regulators. SVVS undertakes compliance audits for such entities.

The versatile experience of the partners of SVVS in a wide spectrum of industries make the firm highly competent to audit compliance with various industries specific and business centric laws. The firm processes audit checklist for over 350 laws. SVVS also plays a pivotal role through its systematic approach in assisting corporate houses to streamline compliance processes through Secretarial Audit and Compliance Audit.

SECRETARIAL AUDITS and COMPLIANCE AUDITS

The Exchange mandated half yearly internal audit of stock brokers has doubled up as a strong measure to address various systematic and customer centric risks. The internal and concurrent audits required by Depositories on Depository Participants on all risk prone areas. These audits help prevent the organizations from being exposed to penal actions by regulatory authorities.

The domain expertise of the partners of SVVS in the securities market give an industry perspective to the aforesaid audits and help the organization achieving highest levels of GRC Management.



STOCK BROKING AND DEPOSITORY PARTICIPANT AUDITS



The Partners of SVVS are experts and authorities in multiple domains. The wealth of domain knowledge helps the firm in defending many cases of clients where specialized domain knowledge in capital markets, insurance, financial services competition law etc. are essential.

SVVS represents clients before regulatory authorities like Securities Exchange Board of India (SEBI), Competition Commission of India (CCI), Regional Director of MCA etc. and quasi judicial bodies such as Securities Appellate Tribunal (SAT), National Company Law Tribunal (NCLT), and Competition Appellate Tribunal (COMPAT). SVVS also offers counsel services by arranging Legal Counsels best suited for each respective Tribunal.

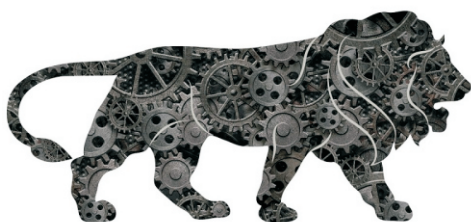
REPRESENTATION BEFORE REGULATORY AUTHORITIES & TRIBUNALS

Companies Act, 2013 empowers the National Company Law Tribunal (NCLT) with the jurisdiction of High Courts in context of restructuring schemes. SVVS undertakes all corporate restructuring schemes on a turnkey basis where coordination with multiple authorities like Registered Valuer, Registrar of Companies, Official Liquidator, Competition Commission of India, Securities Exchange Board of India, Stock Exchanges and other Sectoral Regulators, is required.

The legal acumen and competency of SVVS enhances the quality of execution. The capabilities developed by the Partners over the years enable handling all M&A matters, end to end, in the most systematic and professional way.



MERGERS AND ACQUISITIONS



Start Up India program energises entrepreneurs and ventures with disruptive and innovative ideas. However, many entrepreneurs hit against imponderables and are led to take incorrect decisions on entity structures capital formation, borrowing, investments and above all regulatory compliance. SVVS hand-holds the entrepreneurs in all aforesaid areas and help them enhance enterprise valuation.

Make In India is a campaign by GOI that aims to consolidate Foreign Investment in India thereby creating job opportunities.

Our presence in Delhi and NCR region helps us to cater to the needs of Foreign Companies wanting to establish business place in India. The expertise of SVVS's Partners help Foreign Companies efficiently manage the painful legal and regulatory compliance in India.

ADVISORY ON START UP INDIA AND MAKE IN INDIA

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www.svsllp.com

MISSION

Achieve Highest Standards of Professionalism,
Leveraging Collective Experience.

VISION

Provide Audit, Representation, Advisory and
Execution Services Under One Roof.

COMMITTED TO CLIENTS



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